



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

APPLICATION NUMBER: 07/154,997 FILING/RECEIPT DATE: 11/13/98 FIRST NAMED APPLICANT: EDWARDS ATTORNEY DOCKET NO./TITLE: GENSET016A

KNORRE MAERTENS OLSEN & BEAR
16TH FLOOR
620 NEWPORT CENTER DRIVE
NEWPORT BEACH CA 92660

NOT ASSIGNED

1646

DATE MAILED: 02/03/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.65, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s); identifying this application by the above Application Number and Filing Date, is required.

- ☒ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☒ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☒ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY



PATENT

Case Docket No. GENSET.016A

Date: August 3, 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Dumas Milne Edwards, et al.

App. No. : 09/191,997

Filed : November 13, 1998

For : EXTENDED CDNAS FOR
SECRETED PROTEINS

Group Art Unit : 1646

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

August 3, 1999

(Date)

Daniel Hart

Daniel Hart, Reg. No. 40,637

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on February 3, 1999, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) An extension of time to respond for 4 month(s) is hereby requested.

Time Extension Fee:

(X) four months (\$1,360 large entity)

- (X) A Notice to File Missing Parts.
- (X) Substitute Sequence Listing in 210 pages.
- (X) Substitute Sequence Listing in computer readable form.
- (X) Sequence Submission Statement in 1 page.
- (X) Preliminary Amendment in 2 pages.
- (X) Letter in 1 page.
- (X) Return prepaid postcard.